

10-15-01

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application Transmittal

Assistant Commissioner for Patents
Washington, D.C. 20231

PTO
STN 1101
10/12/01
09/19/01

Sir:

Transmitted herewith for filing is the Patent Application of:

Inventor: James A. Hagan et al.

For: SELF-CLEANING COLLOIDAL SLURRY COMPOSITION AND PROCESS FOR
FINISHING A SURFACE OF A SUBSTRATE

Enclosed are:

- ☒ 31 Pages of Specification, 10 Pages of Claims, 1 Page of Abstract, 6 Sheets of Drawings
- ☒ A Declaration and Power of Attorney
- ☒ An Information Disclosure Statement and Form PTO-1449
- ☒ A certified copy of a _____ application, Application No. _____, filed _____
- ☒ An assignment of the invention to International Business Machines Corporation, Armonk, New York 10504 and Recordation form PTO-1595
- ☐

The filing fee has been calculated as follows:

Other Than Small Entity

For:	No. Filed		No. Extra
Basic Fee			
Total Claims	39	-20 =	19
Indep. Claims	7	-3 =	4
<input type="checkbox"/> Multiple Dependent Claim Presented			

Rate	Fee
	\$ 710.00
x \$18.00=	342.00
x \$80.00=	320.00
\$270.00	\$ 0.00
TOTAL	1,372.00

EXPRESS MAIL CERTIFICATE

Express Mail Label No.: EK207989810US
Date: October 12, 2001

I hereby certify that I am depositing the enclosed or attached paper with the U.S. Postal Service "Express Mail Post Office to Addressee" service on the above date, addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

James R. Nock
James R. Nock

Patent Application Transmittal
Attorney Docket No.: ROC920010111US1

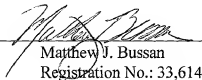
Deposit Account Authorization:

- ☒ Please charge Deposit Account No. 09-0465 in the amount of \$1372.00. A duplicate copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account 09-0465. A duplicate copy of this sheet is enclosed.
- ☒ Any additional filing fees required under 37 C.F.R. §1.16.
- ☒ Any patent application processing fees under 37 C.F.R. §1.17.

Respectfully submitted,

Date: September 8, 2001

By


Matthew J. Bussan
Registration No.: 33,614

Matthew J. Bussan
8563 Mathes Drive
West Chester, OH 45069

(513) 777-9648 voice
(513) 777-9648 fax

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